INTEGRATED TERRITORIAL INVESTMENTS (ITI) BASED INSTRUMENT - STIMULATING INTERCOMMUNAL COOPERATION IN POMERANIA REGION

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RSA WORKSHOP
EU Cohesion Policy: Focus on the Territorial Dimension

Lisboa, 05 e 06 de Novembro de 2015
with accession of Poland to the EU in 2004 the role of regions, as well as their institutional capacity increased

already two year after, programming and management of significant part of Operational Funds was decentralized to regional level

in current programming period this process went even further

introduction of Integrated Territorial Investments which allow giving competences in this area to subregional level - in this case to associations of municipalities

The implementation of the Europe 2020 goals

- smart growth, sustainability, inclusive growth
- **thematic concentration**
- **an integrated approach** (enhanced territorial dimension)
- coordination and complementarity
- conditionality (ex ante, ex-post macroeconomic)
- focus on results (financial corrections for non-compliance)
- **enhanced the partnership principle**
- **financial instruments**
Regional Development Strategy of the Pomeranian Region

- Interventions identified by the regional government, implemented with **partners**
- Better recognition of spatial issues
- Spatial orientated interventions
- **Thematically focused interventions**
- The use of new generation implementation tools
**Functional Areas**

Compact and defined by functional relations areas of specific spatial characteristics or challenges, characterized by common conditions and projecting unified development goals.

*Article 2 Section 6a of the Act of 27 March 2003 on spatial planning and development*

**Functional areas of transregional importance** - functional areas of major importance for national spatial policy

**Functional areas of regional importance** - the functional areas of importance for the region's spatial policy

**Functional areas of local importance** - as the functional areas of relevance to spatial policy of the municipality

*Article 49 of the Act of 27 March 2003 on spatial planning and development*
### Defining functional areas based on National Spatial Development Concept

NSDC 2030 in relation to regional spatial development plans requires delimitation of functional areas as well as identification of directions and spatial principles.

<table>
<thead>
<tr>
<th>supra-reginal</th>
<th>regional</th>
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<tbody>
<tr>
<td><strong>WHO?</strong></td>
<td></td>
</tr>
<tr>
<td>REGIONAL GOVERNMENT</td>
<td>REGIONAL GOVERNMENT</td>
</tr>
<tr>
<td></td>
<td>on its own initiative</td>
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<tr>
<td></td>
<td>or at the request of LOCAL GOVERNMENT</td>
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<tr>
<td><strong>WHERE?</strong></td>
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<tr>
<td>THE REGIONAL SPATIAL DEVELOPMENT PLAN includes delimitated supra- and regional (depends on needs) functional areas and their spatial development principles</td>
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<td><strong>HOW?</strong></td>
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<tr>
<td>under the REGULATION on detailed conditions for defining the functional areas and their boundaries</td>
<td>Based on proposals to the Spatial Development Plan</td>
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<td><em>(Its subsequent projects!)</em></td>
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</table>
Basics of integrated territorial approach in the Pomeranian Region

Regional Development Strategy of the Pomeranian Region 2020 and:
- Regional Strategic Programs (1)
- Strategic Intervention Areas (2)

Instruments for implementing integrated territorial approach:
- Spatial target interventions for (1) and (2) and Regional Operational Programme

| Integrated Territorial Investment (ITI) dedicated to | Gdansk-Gdynia-Sopot Metropolitan Area |
|====================================================|=======================================|
| Integrated Territorial Agreements (ITA) dedicated to | 8 medium size urban functional areas |

Regional Operational Programme for Pomeranian Region 2014-2020

Possible mechanisms for implementing:
- Within the criteria of "partnership", which is giving preference for partnership projects implemented within the functional areas
- Positive verification of implementation of integrated projects resulted from cooperation within the functional areas
**ITI mechanism**

- Instrument of the EU Cohesion Policy, aimed at increasing intercomunal cooperation

- in Poland obligatory for local government units forming metropolitan areas (16 ITI) but voluntary for provincial cities.

- The ITI instrument framework has been established at EU and national level. The specific solutions (incl. spatial and thematic), on the regional level are determined by the ROP.

- In ROP 2014-2020 there is a special pool of funds (4.5%) of EUR 259.3 million, incl. EUR 193.5 from the ERDF and 65.8 million from the ESF allocated to the ITI instrument.
ITI mechanism cd

- **Two necessary conditions** for ITI
  - ITI Association established by the authorities of cities and municipalities
  - ITI Association common strategy defining (only strategic) problems and solutions with the use of EU funds.

- ITI instrument can be implemented to the area specified in the Regional Spatial Development Plan

- **ITI Association = Association of Metropolitan Area Gdańsk-Gdynia-Sopot.** (strengthen metropolitan cooperation)
Integrated Territorial Agreement (ITA) mechanism
Integrated Territorial Agreement (ITA) mechanism

- ITA defined in implementation mechanizm of Regional Development Strategy of the Pomeranian Region.
- urban functional areas would form partnership; negotiations of formula of agreements between the government of the Pomeranian Region and the Common Representation of the urban functional area (UFA)
- UFA Representation includes: local governments, social and economic partners, universities, NGOs, business environment institutions, institutions representing entrepreneurs
- subject of ITA should be agreed and ITA should incl. coherent package of projects from various thematic areas, exploiting growth opportunities and overcoming barriers characterized by the UFA
- UFA delimitation is based on the Spatial Development Plan of the Pomeranian Region
- The ITA mechanism has allocation in Pomeranian ROP 2014-2020
- Projects agreed between the UFA Representations and the Managing Authority of ROP 2014-2020 (Pomeranian Region Board,) will receive preference in competitions organized in the area indicated in the ROP investment priorities
### ITI and ITA based on the ROP Priority Axes

<table>
<thead>
<tr>
<th>Priority Area</th>
<th>ITI</th>
<th>ITA</th>
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</thead>
<tbody>
<tr>
<td><strong>TRANSFER OF KNOWLEDGE TO THE ECONOMY, R &amp; D INFRASTRUCTURE</strong></td>
<td></td>
<td>X</td>
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<tr>
<td><strong>BUSINESS ENVIRONMENT, SPECIALIZED SERVICES FOR COMPANIES</strong></td>
<td>X</td>
<td></td>
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<tr>
<td><strong>PRESCHOOL EDUCATION, EQUAL OPPORTUNITIES IN EDUCATION, COMPREHENSIVE SUPPORT FOR SCHOOLS, EDUCATION AND CAREER COUNSELING</strong></td>
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<tr>
<td><strong>INFRASTRUCTURE OF VOCATIONAL SCHOOLS, VOCATIONAL TRAINING AT A HIGHER LEVEL</strong></td>
<td></td>
<td>X</td>
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<tr>
<td><strong>VOCATIONAL ACTIVATION OF THE UNEMPLOYED</strong></td>
<td>X</td>
<td>X</td>
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<tr>
<td><strong>CHILD CARE UP TO 3 YEARS</strong></td>
<td></td>
<td>X</td>
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<tr>
<td><strong>HEALTH PROGRAMS REDUCE THE RISK OF PROFESSIONAL DEACTIVATION</strong></td>
<td></td>
<td>X</td>
</tr>
<tr>
<td><strong>SOCIO-PROFESSIONAL ACTIVATION OF PEOPLE EXCLUDED AND ENDANGERED WITH SOCIAL EXCLUSION</strong></td>
<td>X</td>
<td>X</td>
</tr>
<tr>
<td><strong>PERSONALIZED AND INTEGRATED SOCIAL SERVICES</strong></td>
<td>X</td>
<td>X</td>
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<tr>
<td><strong>FORMATION AND PROFESSIONALIZATION OF SOCIAL ECONOMY ENTITIES</strong></td>
<td>X</td>
<td>X</td>
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<td><strong>REGIONAL BASE HOSPITAL, SPECIALIST CLINICS</strong></td>
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<td><strong>E-HEALTH SYSTEMS, TELEMEDICINE SERVICES</strong></td>
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<tr>
<td><strong>COMPREHENSIVE REVITALIZATION</strong></td>
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<td><strong>TOURIST PRODUCTS NETWORK</strong></td>
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<tr>
<td><strong>TANGIBLE AND INTANGIBLE CULTURAL HERITAGE, DIGITIZING RESOURCES</strong></td>
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<td>X</td>
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<tr>
<td><strong>LINEAR AND POINT PUBLIC TRANSPORT INFRASTRUCTURE</strong></td>
<td>X</td>
<td>X</td>
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<tr>
<td><strong>REGIONAL RAILWAY INFRASTRUCTURE</strong></td>
<td>X</td>
<td>X</td>
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<tr>
<td><strong>REGIONAL ROAD INFRASTRUCTURE</strong></td>
<td></td>
<td>X</td>
</tr>
<tr>
<td><strong>ENERGY EFFICIENCY OF BUILDINGS</strong></td>
<td></td>
<td>X</td>
</tr>
<tr>
<td><strong>LOW EMISSION REDUCTION</strong></td>
<td>X</td>
<td>X</td>
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<tr>
<td><strong>REDUCTION OF NATURAL RISKS</strong></td>
<td></td>
<td>X</td>
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<tr>
<td><strong>MUNICIPAL WASTE MANAGEMENT SYSTEM</strong></td>
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<td>X</td>
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<tr>
<td><strong>PROTECTION OF BIODIVERSITY</strong></td>
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ITI and ITA timeline (simultaneously)

I ROUND OF NEGOTIATIONS ITI/ITA
THE OFFICIAL NEGOTIATION POSITIONS UFA

II ROUND OF NEGOTIATIONS ITA
VERIFICATION OF NEGOTIATING POSITIONS UFA (TWICE)

II ROUND OF NEGOTIATIONS ITI

UFA PRENEGOTIATING POSITIONS
WORK MEETINGS „PRENEGOTIATIONS” ITI/ITA

JULY 2013
OCTOBER 2013
JANUARY 2014
MARCH 2014
JULY 2014
I/II TERM 2015

UFA
PRENEGOTIATING POSITIONS
COMPLEMENT

I NEGOTIATING POSITION OF REGIONAL BOARD (THEMATIC AREAS CRITERIA ITA)

AGREED BY THE METROPOLITAN AREA REPRESENTATION ON PROJECTS PROPOSED FOR IMPLEMENTATION WITHIN ITI

AGREEMENT ITI

III ROUND OF NEGOTIATIONS ITI/ITA

PROVIDING THE ITI STRATEGY PROJECT TOGETHER WITH PROJECTS DESCRIPTIONS BY THE ITI COUNCIL

ITA PREAGREEMENT
ITA SIGN

2 years
Current results - the number and value of ITA and ITI projects

- ITA - negotiations process closed, (7) agreements signed
- ITI - on-going status, during negotiations, before ITI Strategy acceptance

<table>
<thead>
<tr>
<th></th>
<th>Projects number</th>
<th>Amount</th>
<th>EU contribution</th>
</tr>
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<tbody>
<tr>
<td>ITA</td>
<td>56</td>
<td>1 210 000 K PLN</td>
<td>786 500 K PLN</td>
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<tr>
<td></td>
<td></td>
<td>~302 500 K (EUR)</td>
<td>~196 650 K (EUR)</td>
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<tr>
<td>ITI</td>
<td>15</td>
<td>???</td>
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</table>
ITI and ITA - part of the ROP - the main differences

**Integrated Territorial Investment (ITI)**
- EU and national regulations (Partnership Agreement)
  - dedicated to the Gdansk-Gdynia-Sopot Metropolitan Area (based on spatial planning document)
  - **No extra Priority Axis in ROP for ITI**
  - ITI projects must fulfill the criteria of the Programme
  - **extra allocations ROP**

**Integrated Territorial Agreement (ITA)**
- Regional regulations (Strategy, Strategic Programs)
  - dedicated to urban functional areas (based on spatial planning document)
  - representation of UFA must be multisectoral
  - the result of the negotiations will be a coherent projects package
  - ITA projects must fulfill the criteria of the Programme
  - **No extra allocation in ROP, but indicated preference for the majority of Axis**
Integrated Territorial Agreement - notice!

- Despite the final signing of ITA by seven UFA, according to the Agreement, all projects can be renegotiated by anyone at any time.
- **ITA is not obligatory**. In the case of a consensus within the UFA Representation there is no obligation to sign the Agreement and its implementation.
- Resigning from ITA does not exclude entities belonging to the Representation of UFA with opportunities to apply for funds from ROP 2014-2020.
- ITA is dicated to projects:
  - important for UFA;
  - meaningful for all or almost all entities belonging to the Representation of UFA;
  - relating to the areas that are specific to UFA and requiring cooperation;
  - fulfilling the criteria of the ROP and the EU's priorities for 2014-2020.
- Other development needs of UFA can be realized through participation in open competitions (all municipalities of the region).

Are there any bottlenecks???
Advantages

- First attempt to resign from planning in administrative boundaries of local government units
- To introduce approach based on territory defined by functional relations
- Process has required looking for spatial solutions which may be related to investments that regardless of their location will influence development of much wider area

Dilemmas inside the UFA of BYTÓW (the only one that did not signed the Agreement)

- Risk: rural communities belongs to ITA will not be able to benefit from the RDP (Rural Development Programme).
- Most of the investments within ITA is located in the main town. E.g. an integrated transport hub that supports the whole functional areas and strengthening links with the region is not an argument for the authorities of neighboring municipalities.
The key role of functional areas in the regional policy after 2020

- Significant reduction EU intervention after 2020
- The need to build an independent and sustainable capacity to carry out development activities
- Needed greater involvement of national funding sources - central, regional and local authorities
- The need for a new type of development projects - multi-threaded, partnership, creating a competitive advantage
- Enhanced thematic and spatial concentration of intervention:
  - thematic selectivity
  - cooperating actors at different levels of governance
  - lasting effects
Designated on the basis of proposals submitted to the Plan (which require early termination of the cooperation between municipalities) and based on the criteria (types) as defined in NSDC.
Functional areas in the currently proceeded
Spatial Development Plan of the Pomeranian Region
Defining rules and key investments

Challenges:
- How to avoid:
  - concentration on possible funding schemes?
  - thinking through the lens of available funds in single programming period?
  - thinking only in administrative boundaries (for public bodies and agencies)?

How can we define effective mechanisms of future funding, hence the difficulty in identifying rules and key investments in functional areas?

Has Integrated Territorial Agreements proven useful for strengthening intercommunal cooperation?

- Innovative approach to bottom up programming of development on supralocal level.
- Several crucial investment for this territories development was negotiated.
- Sitting down at the common table and working out a compromise.
Thank you for your attention

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